Please review instructions page before beginning. This document must be typewritten or printed (11A V.S.A.  $\S$  1.20).

## ARTICLE 1. COMPANY NAME.

Business Name Required - Must include an LLC identifier like "LLC", "PLC" or "L3C." - Please see Instructions Page for complete list of LLC identifier options.

ARTICLE 2.	SUBTYPE	ELECTION(S	): O	)ptional	- S	elect	any o	f the	fo	llowin	g th	ıat ap	ply	y:
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☐ The company elects to be a <b>professional limited liability company (PLC)</b> in accordance with 11 V.S.A. § 4011(g).
If selected and new election - (1) must attach professional license(s), see instructions page for details; (2) business name must be amended above in Article 1 to includ
PLC, PLLC, or add the word "professional" to a standard LLC identifier like "LLC"; see Instructions Page for complete list of LLC identifier options; and (3) provide a Busines
Description below in Article 4 that includes the licensed professional service provided.
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☐ The company elects to be a **low-profit limited liability company (L3C)** in accordance with 11 V.S.A. ch. 25, subch. 11. If selected and new election – must amend business name to include "L3C" " above In Article 1.

☐ The company elects to be a **blockchain based limited liability company (BBLLC)** in accordance with 11 V.S.A. ch. 25, subch. 12.

# ARTICLE 3. FISCAL YEAR END MONTH:

 $ANNUAL\ REPORTS\ ARE\ DUE\ EACH\ YEAR\ WITHIN\ THE\ FIRST\ 3\ MONTHS\ FOLLOWING\ THE\ FISCAL\ YEAR\ END\ ON\ RECORD\ WITH\ SECRETARY\ OF\ STATE.$ 

# ARTICLE 4. BUSINESS DESCRIPTION.

Business Description. NAICS Code (preferred) or brief description of business to be conducted by this company.

If Professional LLC election selected above in Article 2: Business Description is required and must include licensed professional service provided.

## ARTICLE 5. INITIAL DESIGNATED OFFICE.

a.					
	Principal Office (Physical) Address Required - No PO Box	City/Town	State	Zip Code	Zip + 4
b.	$\square$ Same as Designated Office (Physical) Address.				
	Business Mailing Address Required	City/Town	State	Zip Code	Zip + 4

**Business Email Address** 

c.

### ARTICLE 6. INITIAL REGISTERED AGENT FOR SERVICE OF PROCESS

a.					
	Agent Name. Required - May be any person having a physical ac	ddress in Vermont.			
b.					
	Agent Office (Physical) Address. Required - No PO Box	City/Town	State	Zip Code	Zip + 4
c.	☐ Same as Agent Office (Physical) Address.				
	Agent Mailing Address. Required	City/Town	State	Zip Code	Zip + 4
d.					

Agent Email Address.

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	☐ This company is <b>Membe</b>	MANAGEMENT STYLE. If selected – must select One (1) Of The Following. This company is Member-Managed. This company is Manager-Managed.								
[ ]	<ul> <li>Initial Membership. Required - :</li> <li>□ This company has one o</li> <li>□ This company has no me</li> <li>Initial Principals. Optional - Principals</li> </ul>	r more Members at the embers at the time of for cipals are the member(s) of a	e time of filing of these iling of these Articles.		a manager-manag	ed				
1	1	pany.								
	Name.									
	Address. Street Address on PO E	lox Ci	y/Town	State	Zip Code	Zip + 4				
2	2. Name.									
	Address. Street Address OR PO E	dox Ci	y/Town	State	Zip Code	Zip + 4				
3	Name.									
	Address. Street Address on PO E	lox Ci	y/Town	State	Zip Code	Zip + 4				
Check	k If Applicable: $\square$ This company $v$ If Selected – must		e (3) initial managers/men initial managers or members							
ARTICLE 9	P. EFFECTIVE DATE. Optional.									
	Date of Receipt by the Secretary	of State. This is the earlies	possible effective date.							
	Delayed Effective Date:		<del></del>							
	Date. In	e effective date of these Arti	cles may only be post-dated up	to 90 days following	date of receipt.					
I her	to. Certification. Required. reby affirm under penalty of nizing this company; that the nitted with a check or money	e information stated in	this record is accurate	; and that that th	•					
Organi	izer. Printed/Typed Name		Signature		Date					
Organi	izer Address. Street Address on PO Box	City/Town	State		Zip Code	Zip + 4				

Please Review Instructions Page before Submission

### SUBMISSION INSTRUCTIONS

- This form must be submitted with a check or money order, payable to "VT SOS," in the amount of \$125.00, and a self-addressed stamped envelope.
- This form can **ONLY** be accepted by Mail or In-person at: h.

Vermont Secretary of State **Corporations Division** 128 State Street Montpelier, VT 05633-1104

Please allow 7-10 business days, or more, from the day that this form received in our office, for processing and (if approved) for this business to appear on the website at bizfilings.vermont.gov, and for evidence of filing to be returned.

## \*\*\*THIS FILING IS AVAILABLE ONLINE\*\*\*

- This form CANNOT be accepted by Phone, Fax, Website, or E-mail; however, this document is available in an online format:
  - If you wish to submit this document electronically, DO NOT fill out this form, please submit online at

https://bizfilings.vermont.gov/online/Account?referrer=BF

- Payment for this form CANNOT be paid by credit card or e-check (ACH); payment by credit card or e-check (ACH) is available only in online format:
  - If you wish to submit payment by credit card or e-check (ACH), DO NOT use this form, please use the online format at: https://bizfilings.vermont.gov/online/Account?referrer=BF
- Online processing normally takes one (1) business day or less.

### FORM INSTRUCTIONS

- Return Acknowledgement to: REQUIRED Name and address to which you wish the Secretary of State to respond to this submission.
- Article 1. **BUSINESS NAME - REQUIRED:** 
  - The business name must include the following:
    - General LLC (i.e. no subtype election(s) selected) either "LLC," "Ltd. Co.," "Limited Liability Company," or "Limited Company".

      Professional LLC: either "PLC," "PLLC," or the addition of the word "professional" to any identifier listed above for general LLCs.

    - Low-Profit LLC: the abbreviation "L3C"
    - If both Professional LLC and Low-profit LLC: the phrase "Professional L3C".
    - Blockchain Based LLC: no special identifier provided in statute. Please choose an appropriate LLC identifier based on other elections, if any, from (1)-(4) above
  - the Business Name must be Distinguishable in the Records of the Secretary of State, please see the following for the rules used for this determination: https://sos.vermont.gov/corporations/fees/business-name-rules/
- Article 2. SPECIALTY TYPE ELECTION(S) - REQUIRED:
  - Please note any Business name requirements for any subtype election selected.
  - If electing to be a Professional LLC (PLC):
    - (1) If Member-Managed: ALL Members of the company must be licensed in one or more states to render a professional service described in in Article 4 of these Articles (11 V.S.A. § 821) - these professional licenses MUST be attached.
    - (2) If Manager Managed: Not less than 50% of the managers of the company must be licensed in one or more states to render the professional service described in Article 4 of these Articles (11 V.S.A. § 821) - these professional licenses MUST be attached.
- BUSINESS DESCRIPTION Optional (except for PLC) NAICS Code (preferred) or brief statement of primary service(s) to be provided by this company. IF PLC - Business Description REQUIRED and must include professional (licensed) services, that PLC is organized for (11 V.S.A. § 820) Article 5. PRINCIPAL OFFICE- REQUIRED: The address where the company is located — or — location where business records are primarily kept – Not required to be
- located in Vermont
- INITIAL REGISTERED AGENT REQUIRED: Article 6.

An LLC/PLC/L3C must designate (and continuously maintain) an agent with a physical address in the state of Vermont.

Agent's Name:

Article 4.

- The agent MUST be an individual person, or a business entity (i.e. not a trade name registration) with an ACTIVE registration to do business in Vermont with the Vermont Secretary of State.
- The agent MUST have a physical address in the state of Vermont.
- The agent MAY be any principal (member or manager) of the LLC.
- The LLC itself MAY NOT be its own agent.
- Agent Physical Address:
  - (1) Physical location at which at which the Registered Agent is normally found during regular business hours.
  - (2) MUST be an address located in Vermont.
- Article 7. MANAGEMENT STYLE - OPTIONAL:
  - "Member" means an owner of the company. Members are the LLC equivalent of corporation shareholders.
  - b. "Manager" means one or more individuals appointed by the member(s) to run the day-to-day operations of the company. Managers are the LLC equivalent of corporation directors. Note: one or more of the MEMBERS may be appointed as managers.
  - "Member-managed" is a company in which one or more of the members manage the company.
  - "Manager-managed" is a company in which the member(s) appoint(s) one or more individuals as manager(s) to run the day to day operations of the

MEMBERSHIP STATUS - REQUIRED: Must state whether the company has members at the time of filing of these Articles. Article 8a.

- Article 8b. INITIAL PRINCIPALS (the members or managers) - OPTIONAL:
  - If member-managed, the LLC's principals are MEMBER(S). See Article 7a. Instruction, above, for a complete explanation of MEMBER;
  - If manager-managed, the LLC's principals are MANAGER(S). See Article 7a. Instruction, above, for a complete explanation of MANAGER.
- Article 10 CERTIFICATION OF DOCUMENT Must be signed by an individual Organizer in accordance with 11 V.S.A. § 4025.

# For Questions, Contact Corporations & Business Services at:

sos.CorpsSupport@vermont.gov or by phone at (802) 828-2386